## **Declaration and Power of Attorney**

As below-named inventors, we hereby declare that:

Our residences, post office addresses, and citizenships are as stated below next to our names.

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **System For Trading Financial Assets Using Volume Weighted Average Price** (File No. 03317-P0016A WWW/DC), the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. To the best of our knowledge, information, and belief the facts stated therein are true.

We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign applications for patent or inventor's certificate listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

#### None

We hereby claim the benefit under Title 35, United States Code, §120 of the following United States Applications listed below, and insofar as the subject matter of each of the claims of this Application is not disclosed in the prior United States Application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

### None

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false

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statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint Wesley W. Whitmyer, Jr., Registration No. 33,558, and David Chen, Registration No. 46,613, of ST.ONGE STEWARD JOHNSTON & REENS LLC; 986 Bedford Street; Stamford, Connecticut 06905-5619 (203 324-6155); with full power of substitution, association and revocation, as attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all telephone calls and correspondence to Wesley W. Whitmyer, Jr. at the above address and telephone number.

Full name of first inventor:	Bin Li *
Inventor's signature  Date:	fur- 6/1/2001.
Residence:	Westport, CT
Citizenship:	A citizen of China
Post Office Address:	11 Mallard Lane Westport, CT 06880
Full name of second inventor:	Michael Wu
Full name of second inventor:  Inventor's signature	Michael Wu  Michael Wu
	Michael Wu  Michael Wu  06/01/2001
Inventor's signature	Michael Wu  Michael Wu  Michael Wu  06/01/200/  Jersey City, NJ
Inventor's signature  Date:	Mids Lon 06/01/2001

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# Full name of third inventor:

Inventor's signature

Date:

Residence:

Citizenship:

Post Office Address:

Nan Lu

Stamford, CT

A citizen of China

140 Hoyt Street, Apt. 5J Stamford, CT 06905

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Bin Li, et al.
Serial No Pending	Filing Date: June 6, 2001
Title of Application:	System For Trading Financial Assets Using Volume Weighted Average Price

Assistant Commissioner for Patents Washington, DC 20231

## Small Entity Assertion

Dear Sir:

1. **Small Entity Statement**. Applicants claim Small Entity Status. See 37 CFR 1.27.

Respectfully submitted,

June (/), 2001

Wesley W. Whitmyer, Jr., Registration No. 33,558

David Chen, Registration No. 46,613

Attorneys for Applicants

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